

02/12/02

10/07/2002

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. T-3883  
First Inventor Daniel J. VanderPyl  
Title SONIC AIR HEAT CHARGER  
Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)  
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.

3. ☒ Specification [Total Pages 15]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]

Oath or Declaration [Total Pages 2]

- a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)  
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney  
(when there is an assignee) ☐ Attorney  
11. ☐ English Translation Document (if applicable)  
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.  
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: /

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Charles H. Thomas  
CISLO & THOMAS LLP  
Address 4201 Long Beach Blvd., Suite 405  
City Long Beach State CA Zip Code 90807-2022  
Country USA Telephone 562-595-8422 Fax 562-595-9319

Name (Print/Type) Charles H. Thomas Registration No. (Attorney/Agent) 25,710  
Signature [Signature] Date 02/08/2002

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**FEE TRANSMITTAL**  
**for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) **410.00****Complete if Known**

|                      |                      |
|----------------------|----------------------|
| Application Number   |                      |
| Filing Date          |                      |
| First Named Inventor | Daniel J. VanderPyle |
| Examiner Name        |                      |
| Group Art Unit       |                      |
| Attorney Docket No.  | T-3883               |

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

032035

Deposit  
Account  
Name

Charles H. Thomas, Inc.

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

Fee Paid

370.00

**SUBTOTAL (1)** (\$) **370.00****2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 12                 | -20** =      | X              | 0.00     |
| 3                  | -3** =       | X              | 0.00     |
| Multiple Dependent |              |                |          |

Large Entity Small Entity

Fee Fee Fee Fee Description

Code (\$) Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 \*\* Reissue independent claims  
over original patent110 18 210 9 \*\* Reissue claims in excess of 20  
and over original patent**SUBTOTAL (2)** (\$) **0.00**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Fee Code | Large Entity | Small Entity | Fee Description | Fee Paid   |       |
|----------|--------------|--------------|-----------------|--|-------|
| 105      | 130          | 205          | 65              | Surcharge - late filing fee or oath  |       |
| 127      | 50           | 227          | 25              | Surcharge - late provisional filing fee or cover sheet                     |       |
| 139      | 130          | 139          | 130             | Non-English specification  |       |
| 147      | 2,520        | 147          | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |       |
| 112      | 920*         | 112          | 920*            | Requesting publication of SIR prior to Examiner action                     |       |
| 113      | 1,840*       | 113          | 1,840*          | Requesting publication of SIR after Examiner action                        |       |
| 115      | 110          | 215          | 55              | Extension for reply within first month                                     |       |
| 116      | 400          | 216          | 200             | Extension for reply within second month                                    |       |
| 117      | 920          | 217          | 460             | Extension for reply within third month                                     |       |
| 118      | 1,440        | 218          | 720             | Extension for reply within fourth month                                    |       |
| 128      | 1,960        | 228          | 980             | Extension for reply within fifth month                                     |       |
| 119      | 320          | 219          | 160             | Notice of Appeal   |       |
| 120      | 320          | 220          | 160             | Filing a brief in support of an appeal                                     |       |
| 121      | 280          | 221          | 140             | Request for oral hearing   |       |
| 138      | 1,510        | 138          | 1,510           | Petition to institute a public use proceeding                              |       |
| 140      | 110          | 240          | 55              | Petition to revive - unavoidable   |       |
| 141      | 1,280        | 241          | 640             | Petition to revive - unintentional   |       |
| 142      | 1,280        | 242          | 640             | Utility issue fee (or reissue)   |       |
| 143      | 460          | 243          | 230             | Design issue fee   |       |
| 144      | 620          | 244          | 310             | Plant issue fee  |       |
| 122      | 130          | 122          | 130             | Petitions to the Commissioner  |       |
| 123      | 50           | 123          | 50              | Processing fee under 37 CFR 1.17(q)  |       |
| 126      | 180          | 126          | 180             | Submission of Information Disclosure Stmt                                  |       |
| 581      | 40           | 581          | 40              | Recording each patent assignment per property (times number of properties) | 40.00 |
| 146      | 740          | 246          | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))              |       |
| 149      | 740          | 249          | 370             | For each additional invention to be examined (37 CFR § 1.129(b))           |       |
| 179      | 740          | 279          | 370             | Request for Continued Examination (RCE)                                    |       |
| 169      | 900          | 169          | 900             | Request for expedited examination of a design application                  |       |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) **40.00****SUBMITTED BY**

Name (Print/Type) Charles H. Thomas

Registration No. 25,710  
(Attorney/Agent)**Complete (if applicable)**

Telephone 562-595-8422

Signature Charles H. Thomas

Date 02/08/2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**First Named Inventor **Daniel J. VanderPyl**Title **SONIC AIR HEAT CHARGER**Atty Docket Number **T-3883**

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

02/04/02  
Date

  
Signature

Daniel J. VanderPyl

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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